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PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/420,695
Filing Date	10/19/1999
First Named Inventor	Yasmin Thanavala et al.
Group Art Unit	1651
Examiner Name	M. Flood
Attorney Docket Number	RPP:156A US

Total Number of Pages in This Submission

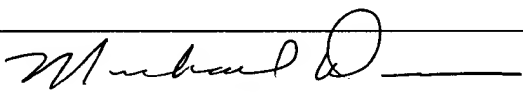
19

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
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Remarks

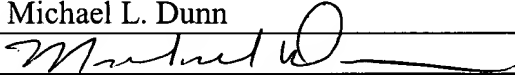
### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Michael L. Dunn, Dunn & Associates
Signature	
Date	May 8, 2001

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

May 8, 2001

Typed or printed name	Michael L. Dunn
Signature	
Date	May 8, 2001

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Complete if Known



# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$130.00)

Application Number 09/420,695  
Filing Date 10/19/1999  
First Named Inventor Yasmin Thanavala et al.  
Examiner Name M. Flood  
Group Art Unit 1651  
Attorney Docket No. RPP:156A US

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number

04-1790

Deposit Account Name



Charge Any Additional Fee Required Under 37 CFR 1.16, 1.17, 1.18 and 1.20



Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:



Check



Credit Card



Money Order



Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code Small Entity Fee Code

Fee Description

Fee Paid

101 710 201 355 Utility filing fee  
106 320 206 160 Design filing fee  
107 490 207 245 Plant filing fee  
108 710 208 355 Reissue filing fee  
114 150 214 75 Provisional filing fee

SUBTOTAL (1)

(\$)

### 2. EXTRA CLAIM FEES

Extra Claims

Fee from below

Fee Paid

Total Claims  -20\*\* =  X  =

Independent Claims  -3\*\* =  X  =

Multiple Dependent

Large Entity Fee Code Small Entity Fee Code

Fee Description

103 18 203 9 Claims in excess of 20  
102 80 202 40 Independent claims in excess of 3  
104 270 204 135 Multiple dependent claim, if not paid  
109 80 209 40 \*\*Reissue independent claims over original patent  
110 18 210 9 \*\*Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

\*\*or number previously paid, if greater; For Reissues, see above

### 3. ADDITIONAL FEES

Large Entity Fee Code Small Entity Fee Code

Fee Description

Fee Paid

105 130 205 65 Surcharge - late filing fee or oath  
127 50 227 25 Surcharge - late provisional filing fee or cover sheet  
139 130 139 130 Non-English specification  
147 2,520 147 2,520 For filing a request for ex parte reexamination  
112 920\* 112 920\* Requesting publication of SIR prior to Examiner action  
113 1,840\* 113 1,840\* Requesting publication of SIR after Examination action  
115 110 215 55 Extension for reply within first month  
116 390 216 195 Extension for reply within second month  
117 890 217 445 Extension for reply within third month  
118 1,390 218 695 Extension for reply within fourth month  
128 1,890 228 945 Extension for reply within fifth month  
119 310 219 155 Notice of Appeal  
120 310 220 155 Filing a brief in support of an appeal  
121 270 221 135 Request for oral hearing  
138 1,510 138 1,510 Petition to institute a public use proceeding  
140 110 240 55 Petition to revive - unavoidable  
141 1,240 241 620 Petition to revive - unintentional  
142 1,240 242 620 Utility issue fee (or reissue)  
143 440 243 220 Design issue fee  
144 600 244 300 Plant issue fee  
122 130 122 130 Petitions to the Commissioner 130  
123 50 123 50 Processing fee under 37 CFR 1.17(q)  
126 180 126 180 Submission of Information Disclosure Stmt  
581 40 581 40 Recording each patent assignment per property (times number of properties)  
146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))  
149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))  
179 710 279 355 Request for Continued Examination (RCE)  
169 900 169 900 Request for expedited examination of a design application

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$130.00)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Michael L. Dunn

Registration No. (Attorney/Agent) 25,330

Telephone 716-433-1661

Signature

*Michael L. Dunn*

Date May 5, 2001

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